

October 06, 2015

Nippon Wealth Limited, a Restricted Licence Bank  
Executive Director and CEO: Tsutomu Nakajima

### **NWB Licensed by the Securities & Futures Commission of Hong Kong**

Nippon Wealth Limited, a Restricted Licence Bank (Hong Kong, CEO: Tsutomu Nakajima, hereinafter NWB) is granted licence by the Securities & Futures Commission of Hong Kong (SFC) on September 25, 2015 to carry out Type 1 (dealing in securities) and Type 4 (advising on securities) regulated activities. Together with the restricted banking licence already granted to the NWB, it has met the necessary requirements to offer banking and securities services in Hong Kong. NWB is set to commence full operation starting October 12, 2015.

NWB is a wholly-owned subsidiary of OJBC Co. Ltd, a BVI company owned by publicly listed companies and funds with solid management bases, including Shinsei Bank (50.0%), Monex Group (9.96%), AD Capital (9.96%), Convoy Financial (9.96%), and Tokyu Livable (4.11%). NWB collaborates with its funding companies and provides an asset management platform that clients can rely on. Based in Hong Kong – Asia’s largest and world’s third largest financial market – it offers individual investors world-class asset management products which catalogue a wide range of superior financial products around the world.

The management team and the staff at NWB draw their expertise from the many years of experience working at financial institutions worldwide including in Japan. NWB provides Japanese-speaking service to satisfy clients’ needs. Its wealth management service, available also to Japanese-speaking clients, exemplifies NWB’s belief in ‘Global View, Japan Quality’ – a vision of providing premium quality service Japan is famous for from a global perspective.

Unlike other private banks which requires more than USD 1million (about JPY 125 million) of minimum amount, NWB welcomes individual investors with one-tenth of the minimum amount of USD 100,000 \* to open an account. With a diversified global investment portfolio, NWB strives to cater to a broader client base who look for quality wealth management service.

With a multicultural mindset and fluency in multinational regulations, we offer English-, Cantonese- and Japanese-speaking services and portfolios that include medium-to-long term bonds and investment trusts. NWB trades in nine currencies (US Dollar, HK Dollar, Japanese Yen, Euro, British Pound, Australian Dollar, NZ Dollar, Canadian Dollar, Singapore Dollar), and plans to include Renminbi in future.

Our centrally-located office in the 16th floor of the Peninsula Tower in Tsim Sha Tsui, Kowloon offers a stunning view of the Hong Kong Island. One-to-one service in secure meeting room with strict privacy measures is available by appointment for our clients.

\* The minimum amount that NWB accepts is USD 100,000.

[Company Outline]

Company Name: Nippon Wealth Limited, a Restricted Licence Bank  
Office Address: 16/F, The Peninsula Office Tower, 18 Middle Road, TST, Hong Kong  
香港九龍尖沙咀中間道 18 號半島酒店辦公室大樓 16 樓  
Management: Executive Director and CEO : Tsutomu Nakajima  
Executive Director and COO : Kenichi Hasegawa  
Business Domain: Restricted banking business, securities business, and insurance agent  
business in Hong Kong  
Capital: HK\$ 286 million (as of 06-Oct-2015)  
Shareholder: OJBC Co. Ltd (BVI)  
Number of Employees: 40  
Website: [www.nipponwealth.com](http://www.nipponwealth.com) (English)  
[jp.www.nipponwealth.com](http://jp.www.nipponwealth.com) (Japanese)  
Phone : (852)3958-8800

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