

Bond List as of 5/22/2019

Please note and carefully read the Important Disclaimer and Note on Product Risk Ratings on the last page. Credit rating is not a recommendation to buy, sell or hold and may be subject to suspension, change or withdrawal at any time by the assigning rating agency.

Issuer	Currency	Coupon Type	Coupon	Coupon Frequency	Issue Date (MM/DD/YYYY)	Maturity (MM/DD/YYYY)	S&P Issue Credit Rating	Moody's Issue Credit Rating	Fitch Issue Credit Rating	Product Risk Rating	Offer Price	Yield to Maturity	Min Amount	Ranking	Category
MACQUARIE GROUP LTD	USD	FIXED	6.000%	S/A	1/14/2010	1/14/2020	BBB	A3	A-	3	103.534	0.499%	100,000	Sr Unsecured	Banks
MITSUBISHI UFJ LEASE&FIN	USD	FIXED	2.500%	S/A	3/9/2015	3/9/2020	A-	A3	-	2	101.148	1.049%	200,000	Sr Unsecured	Others (Diversified Finan Serv)
FORD MOTOR CREDIT CO LLC	USD	FIXED	2.459%	S/A	3/27/2015	3/27/2020	BBB	Baa3	BBB	3	100.828	1.471%	200,000	Sr Unsecured	Others (Auto Manufacturers)
BOC AVIATION LTD	USD	FIXED	3.000%	S/A	3/30/2015	3/30/2020	A-	-	A-	2	101.441	1.300%	200,000	Sr Unsecured	Others (Diversified Finan Serv)
QUALCOMM INC	USD	FIXED	2.250%	S/A	5/20/2015	5/20/2020	A-	A2	-	2	101.133	1.101%	100,000	Sr Unsecured	Others (Semiconductors)
CITIGROUP INC	USD	FIXED	5.375%	S/A	8/9/2010	8/9/2020	BBB+	A3	A	3	104.571	1.557%	100,000	Sr Unsecured	Banks
MUFG BANK LTD	USD	FIXED	2.750%	S/A	9/14/2015	9/14/2020	A	A1	-	2	101.494	1.593%	200,000	Sr Unsecured	Banks
BANK OF AMERICA CORP	USD	FIXED	2.625%	S/A	10/19/2015	10/19/2020	A-	A2	A+	2	101.358	1.645%	100,000	Sr Unsecured	Banks
BARCLAYS PLC	USD	FIXED	3.250%	S/A	1/12/2016	1/12/2021	BBB	Baa3	A	3	101.629	2.231%	200,000	Sr Unsecured	Banks
GAP INC/THE	USD	FIXED	5.950%	S/A	4/12/2011	4/12/2021	BB+	Baa2	-	4	106.348	2.487%	100,000	Sr Unsecured	Others (Retail)
XEROX CORPORATION	USD	FIXED	4.500%	S/A	5/18/2011	5/15/2021	BB+	Ba1	BB	4	103.208	2.823%	100,000	Sr Unsecured	Others (Office/Business Equip)
BANK OF CHINA/LUXEMBOURG	USD	FIXED	2.250%	S/A	7/12/2016	7/12/2021	-	A1	A	2	99.980	2.259%	200,000	Sr Unsecured	Banks
CENTRAL NIPPON EXPRESSWY	USD	FIXED	2.091%	Annual	9/14/2016	9/14/2021	-	A1	-	2	99.846	2.158%	200,000	Sr Unsecured	Others (Commercial Services)
GENERAL ELECTRIC CO	USD	FIXED	4.650%	S/A	10/17/2011	10/17/2021	BBB+	Baa1	BBB+	3	105.082	2.458%	100,000	Sr Unsecured	Others (Miscellaneous Manufactur)
GENERAL MOTORS FINL CO	USD	FIXED	4.200%	S/A	11/6/2018	11/6/2021	BBB	Baa3	BBB	3	103.410	2.754%	100,000	Sr Unsecured	Others (Auto Manufacturers)
INDONESIA ASAHAN ALUMINI	USD	FIXED	5.230%	S/A	11/15/2018	11/15/2021	-	Baa2	BBB-	3	105.550	2.895%	200,000	Sr Unsecured	Others (Mining)
FORD MOTOR CREDIT CO LLC	USD	FIXED	5.596%	S/A	1/7/2019	1/7/2022	BBB	Baa3	BBB	3	106.109	3.151%	200,000	Sr Unsecured	Others (Auto Manufacturers)
FORD MOTOR CREDIT CO LLC	USD	FLOATING	5.729%	Qtrly	1/7/2019	1/7/2022	BBB	Baa3	BBB	3	105.225	3.632%	200,000	Sr Unsecured	Others (Auto Manufacturers)
CENTRAL JAPAN RAILWAY CO	USD	FIXED	2.800%	S/A	2/23/2017	2/23/2022	AA-	A1	-	2	101.446	2.255%	200,000	Sr Unsecured	Others (Transportation)
JSW STEEL LTD	USD	FIXED	5.250%	S/A	4/12/2017	4/13/2022	-	Ba2	BB	4	102.525	4.310%	200,000	Sr Unsecured	Others (Iron/Steel)
COUNTRY GARDEN HLDGS	USD	FIXED	7.125%	S/A	1/25/2019	4/25/2022	-	-	BBB-	4	106.626	4.673%	200,000	Sr Unsecured	Real Estate
HONGKONG LAND FINANCE	USD	FIXED	4.500%	S/A	6/1/2012	6/1/2022	A	A2	-	2	105.976	2.438%	200,000	Sr Unsecured	Real Estate
JAGUAR LAND ROVER AUTOMO	USD	FIXED	5.625%	S/A	1/28/2013	2/1/2023	B+	Ba3	-	4	102.239	4.951%	150,000	Sr Unsecured	Others (Auto Manufacturers)
MORGAN STANLEY	USD	FIXED	3.750%	S/A	2/25/2013	2/25/2023	BBB+	A3	A	3	104.382	2.520%	100,000	Sr Unsecured	Banks
PCCW-HKT CAPITAL NOS LTD	USD	FIXED	3.750%	S/A	3/8/2013	3/8/2023	BBB	Baa2	-	3	103.101	2.881%	200,000	Sr Unsecured	Telecommunications
VEDANTA RESOURCES	USD	FIXED	8.000%	S/A	4/23/2019	4/23/2023	B+	B2	-	4	100.856	7.740%	200,000	Sr Unsecured	Others (Mining)
FTL CAPITAL LTD	USD	FIXED	4.125%	S/A	4/25/2013	4/25/2023	-	Baa2	BBB+	3	101.999	3.574%	200,000	Sr Unsecured	Insurance
APPLE INC	USD	FIXED	2.400%	S/A	5/3/2013	5/3/2023	AA+	Aa1	-	1	100.783	2.192%	100,000	Sr Unsecured	Computers
ALIBABA GROUP HOLDING	USD	FIXED	2.800%	S/A	12/6/2017	6/6/2023	A+	A1	A+	2	100.686	2.620%	200,000	Sr Unsecured	Others (Internet)
TEVA PHARMACEUTICALS NE	USD	FIXED	2.800%	S/A	7/21/2016	7/21/2023	BB	Ba2	-	4	91.001	5.232%	100,000	Sr Unsecured	Others (Pharmaceuticals)
TOYOTA MOTOR CREDIT CORP	USD	FIXED	3.450%	S/A	9/20/2018	9/20/2023	AA-	Aa3	A+u	2	104.719	2.298%	100,000	Sr Unsecured	Others (Auto Manufacturers)
BAIDU INC	USD	FIXED	3.875%	S/A	3/29/2018	9/29/2023	-	A3	A	2	103.818	2.934%	200,000	Sr Unsecured	Others (Internet)
BOC AVIATION LTD	USD	FIXED	4.000%	S/A	1/25/2019	1/25/2024	A-	-	A-	2	103.995	3.075%	200,000	Sr Unsecured	Others (Diversified Finan Serv)
CHINA EVERGRANDE GROUP	USD	FIXED	9.500%	S/A	3/29/2017	3/29/2024	-	B2	B	4	95.893	10.597%	200,000	Secured	Real Estate

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BAIDU INC	USD	FIXED	4.375%	S/A	11/14/2018	5/14/2024	-	A3	A	2	105.936	3.079%	200,000	Sr Unsecured	Others (Internet)
MITSUBISHI CORP	USD	FIXED	3.375%	S/A	7/23/2014	7/23/2024	A	A2	-	2	104.002	2.543%	200,000	Sr Unsecured	Others (Distribution/Wholesale)
SOFTBANK GROUP CORP	USD	FIXED	4.750%	S/A	9/19/2017	9/19/2024	BB+	Ba1	-	4	102.260	4.270%	200,000	Sr Unsecured	Telecommunications
AMAZON.COM INC	USD	FIXED	3.800%	S/A	12/5/2014	12/5/2024	AA-	A3	A+	2	107.029	2.435%	100,000	Sr Unsecured	Others (Internet)
WELLS FARGO & COMPANY	USD	FIXED	3.000%	S/A	2/19/2015	2/19/2025	A-	A2	A+	2	100.969	2.816%	100,000	Sr Unsecured	Banks
SOFTBANK GROUP CORP	USD	FIXED	6.125%	S/A	4/20/2018	4/20/2025	BB+	Ba1	-	4	108.063	4.553%	200,000	Sr Unsecured	Telecommunications
BANK OF AMERICA CORP	USD	FIXED	3.950%	S/A	4/21/2015	4/21/2025	BBB+	Baa1	A	4	103.938	3.213%	100,000	Subordinated	Banks
GLP PTE LTD	USD	FIXED	3.875%	S/A	6/4/2015	6/4/2025	BBB-	Baa3	BBB	3	99.747	3.922%	200,000	Sr Unsecured	Real Estate
MORGAN STANLEY	USD	FIXED	4.000%	S/A	7/23/2015	7/23/2025	BBB+	A3	A	3	105.766	2.970%	100,000	Sr Unsecured	Banks
SOFTBANK GROUP CORP	USD	FIXED	6.000%	S/A	7/28/2015	7/30/2025	BB+	Ba1	-	4	106.856	4.709%	200,000	Sr Unsecured	Telecommunications
GOLDMAN SACHS GROUP INC	USD	FIXED	4.250%	S/A	10/21/2015	10/21/2025	BBB-	Baa2	A-	4	104.752	3.418%	100,000	Subordinated	Banks
GOLDMAN SACHS GROUP INC	USD	FIXED	3.750%	S/A	2/25/2016	2/25/2026	BBB+	A3	A	3	102.873	3.272%	100,000	Sr Unsecured	Banks
STARBUCKS CORP	USD	FIXED	2.450%	S/A	5/16/2016	6/15/2026	BBB+	Baa1	BBB+	4	97.229	2.886%	100,000	Sr Unsecured	Others (Retail)
MICROSOFT CORP	USD	FIXED	2.400%	S/A	8/8/2016	8/8/2026	AAA	Aaa	AA+u	2	98.968	2.557%	100,000	Sr Unsecured	Others (Software)
ALPHABET INC	USD	FIXED	1.998%	S/A	8/9/2016	8/15/2026	AA+	Aa2	-	2	96.305	2.561%	100,000	Sr Unsecured	Others (Internet)
WELLS FARGO & COMPANY	USD	FIXED	3.000%	S/A	10/25/2016	10/23/2026	A-	A2	A+	3	99.721	3.042%	100,000	Sr Unsecured	Banks
GOLDMAN SACHS GROUP INC	USD	FIXED	3.850%	S/A	1/26/2017	1/26/2027	BBB+	A3	A	4	102.972	3.406%	100,000	Sr Unsecured	Banks
TWDC ENTERPRISES 18 CORP	USD	FIXED	2.950%	S/A	6/6/2017	6/15/2027	A	A2	A	3	101.895	2.687%	100,000	Sr Unsecured	Others (Media)
TAPESTRY INC	USD	FIXED	4.125%	S/A	6/20/2017	7/15/2027	BBB-	Baa2	BBB-	4	99.966	4.129%	100,000	Sr Unsecured	Others (Apparel)
WELLS FARGO & COMPANY	USD	FIXED	4.300%	S/A	7/22/2015	7/22/2027	BBB+	A3	A	5	106.025	3.447%	100,000	Subordinated	Banks
BANQUE OUEST AFRICAINE D	USD	FIXED	5.000%	S/A	7/27/2017	7/27/2027	-	Baa1	BBB	4	103.688	4.456%	200,000	Sr Unsecured	Others (Multi-National)
APPLE INC	USD	FIXED	2.900%	S/A	9/12/2017	9/12/2027	AA+	Aa1	-	2	100.330	2.855%	100,000	Sr Unsecured	Computers
SOFTBANK GROUP CORP	USD	FIXED	5.125%	S/A	9/19/2017	9/19/2027	BB+	Ba1	-	5	102.246	4.794%	200,000	Sr Unsecured	Telecommunications
CITIGROUP INC	USD	FIXED	4.450%	S/A	9/29/2015	9/29/2027	BBB	Baa2	A-	5	105.636	3.660%	100,000	Subordinated	Banks
FORD MOTOR COMPANY	USD	FIXED	7.450%	S/A	7/16/1999	7/16/2031	BBB	Baa3	BBB	4	116.662	5.546%	100,000	Sr Unsecured	Others (Auto Manufacturers)
TEMASEK FINANCIAL I LTD	USD	FIXED	3.375%	S/A	7/23/2012	7/23/2042	AAA	Aaa	-	2	100.386	3.351%	250,000	Sr Unsecured	Others (Investment Companies)
APPLE INC	USD	FIXED	4.450%	S/A	5/6/2014	5/6/2044	AA+	Aa1	-	2	110.057	3.821%	100,000	Sr Unsecured	Computers
PERTAMINA PERSERO PT	USD	FIXED	6.450%	S/A	5/30/2014	5/30/2044	BBB-	Baa2	BBB	4	115.999	5.289%	200,000	Sr Unsecured	Others (Oil&Gas)
MICROSOFT CORP	USD	FIXED	4.450%	S/A	11/3/2015	11/3/2045	AAA	Aaa	AA+u	2	114.278	3.608%	100,000	Sr Unsecured	Others (Software)
AT&T INC	USD	FIXED	5.450%	S/A	2/9/2017	3/1/2047	BBB	Baa2	A-	4	110.855	4.742%	100,000	Sr Unsecured	Telecommunications
RABOBANK NEDERLAND/NZ	NZD	FIXED	3.625%	Annual	6/8/2017	6/8/2022	A+	Aa3	AA-	2	106.530	1.418%	100,000	Sr Preferred	Banks
ANZ BANK NEW ZEALAND LTD	NZD	FIXED	3.700%	S/A	5/30/2018	5/30/2023	AA-	A1	AA-	2	106.836	1.925%	100,000	Sr Unsecured	Banks
GE CAPITAL UK FUNDING UN	GBP	FIXED	4.125%	Annual	9/13/2013	9/13/2023	BBB+	Baa1	BBB+	3	109.375	1.840%	100,000	Sr Unsecured	Others (Diversified Finan Serv)
BARCLAYS PLC	GBP	FIXED	3.125%	Annual	1/17/2017	1/17/2024	BBB	Baa3	A	3	103.897	2.232%	100,000	Sr Unsecured	Banks
STANDARD CHARTERED PLC	GBP	FIXED	5.125%	Annual	6/6/2014	6/6/2034	BBB-	Baa2	A-	5	115.170	3.783%	100,000	Subordinated	Banks
ASAHI GROUP HOLDINGS LTD	EUR	FIXED	1.151%	Annual	9/19/2017	9/19/2025	-	Baa1	-	3	103.859	0.529%	100,000	Sr Unsecured	Others (Beverages)

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BOC AVIATION LTD	CNY	FIXED	4.500%	S/A	10/17/2017	10/17/2020	A-	-	A-	2	102.770	2.477%	1,000,000	Sr Unsecured	Others (Diversified Finan Serv)
BMW FINANCE NV	CNY	FIXED	4.250%	Annual	10/18/2017	10/18/2020	A+	A1	-	2	102.336	2.528%	1,000,000	Sr Unsecured	Others (Auto Manufacturers)
CATERPILLAR FIN AUSTRALI	AUD	FIXED	2.600%	S/A	5/26/2017	5/26/2020	A	-	-	2	102.282	0.337%	100,000	Sr Unsecured	Others (Machinery-Constr&Mining)
CITIGROUP INC	AUD	FIXED	3.750%	S/A	5/4/2016	5/4/2021	BBB+	A3	A	3	104.784	1.258%	100,000	Sr Unsecured	Banks
SUMITOMO MITSUI FINL GRP	AUD	FLOATING	3.041%	Qtrly	3/29/2017	3/29/2022	A-	A1	-	2	102.117	2.272%	100,000	Sr Unsecured	Banks
WELLS FARGO & COMPANY	AUD	FIXED	3.250%	S/A	4/27/2017	4/27/2022	A-	A2	A+	2	104.794	1.570%	100,000	Sr Unsecured	Banks
TOYOTA FINANCE AUSTRALIA	AUD	FIXED	2.875%	Annual	11/21/2017	11/21/2022	AA-	Aa3	A+	2	105.455	1.269%	100,000	Sr Unsecured	Others (Auto Manufacturers)
BANCO SANTANDER SA	AUD	FIXED	4.000%	S/A	7/19/2017	1/19/2023	A-	Baa1	A-	4	105.518	2.414%	200,000	Sr Non Preferred	Banks



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STATE OF QATAR	USD	FIXED	5.250%	S/A	11/24/2009	1/20/2020	AA-	Aa3	-	1	103.390	0.280%	100,000	Sr Unsecured	Sovereign
FIJI GOVT INTL BOND	USD	FIXED	6.625%	S/A	10/2/2015	10/2/2020	B+	Ba3	-	4	103.098	4.290%	200,000	Sr Unsecured	Sovereign
REPUBLIC OF SRI LANKA	USD	FIXED	6.250%	S/A	10/4/2010	10/4/2020	B	B2	B	4	102.765	4.176%	100,000	Sr Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.875%	S/A	10/31/2018	10/31/2020	-	Aaa	AAA	1	102.313	1.272%	100,000	Unsecured	Sovereign
STATE OF QATAR	USD	FIXED	2.375%	S/A	6/2/2016	6/2/2021	AA-	Aa3	AA-	1	100.700	2.024%	200,000	Sr Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.875%	S/A	10/15/2018	10/15/2021	-	Aaa	AAA	1	103.016	1.599%	100,000	Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.125%	S/A	12/31/2015	12/31/2022	-	Aaa	AAA	1	101.464	1.707%	100,000	Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.875%	S/A	10/31/2018	10/31/2023	-	Aaa	AAA	1	104.480	1.825%	100,000	Unsecured	Sovereign
REPUBLIC OF INDONESIA	USD	FIXED	5.875%	S/A	1/15/2014	1/15/2024	BBB-	Baa2	BBB	3	112.656	2.952%	200,000	Sr Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.750%	S/A	2/18/2014	2/15/2024	-	Aaa	AAA	1	104.081	1.849%	100,000	Unsecured	Sovereign
SOCIALIST REP OF VIETNAM	USD	FIXED	4.800%	S/A	11/19/2014	11/19/2024	BB	Ba3	BB	4	108.040	3.198%	200,000	Sr Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.875%	S/A	11/30/2018	11/30/2025	-	Aaa	AAA	1	105.144	2.032%	100,000	Unsecured	Sovereign
STATE OF QATAR	USD	FIXED	3.250%	S/A	6/2/2016	6/2/2026	AA-	Aa3	AA-	1	102.455	2.863%	200,000	Sr Unsecured	Sovereign
REPUBLIC OF SRI LANKA	USD	FIXED	6.825%	S/A	7/18/2016	7/18/2026	B	B2	B	4	101.033	6.639%	200,000	Sr Unsecured	Sovereign
SAUDI INTERNATIONAL BOND	USD	FIXED	3.250%	S/A	10/26/2016	10/26/2026	-	A1	A+	2	100.789	3.130%	200,000	Sr Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.000%	S/A	11/15/2016	11/15/2026	-	Aaa	AAA	1	99.516	2.070%	100,000	Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.375%	S/A	5/15/2017	5/15/2027	-	Aaa	AAA	1	101.984	2.104%	100,000	Unsecured	Sovereign
US TREASURY N/B	USD	FIXED	2.875%	S/A	8/15/2018	8/15/2028	-	Aaa	AAA	1	105.688	2.192%	100,000	Unsecured	Sovereign
KINGDOM OF BAHRAIN	USD	FIXED	7.000%	S/A	10/12/2016	10/12/2028	B+	-	BB-	4	109.886	5.631%	200,000	Sr Unsecured	Sovereign
UNITED MEXICAN STATES	USD	FIXED	4.750%	S/A	3/8/2012	3/8/2044	BBB+	A3	BBB+	4	100.196	4.736%	100,000	Sr Unsecured	Sovereign
STATE OF QATAR	USD	FIXED	4.625%	S/A	6/2/2016	6/2/2046	AA-	Aa3	AA-	2	109.895	4.021%	200,000	Sr Unsecured	Sovereign
SAUDI INTERNATIONAL BOND	USD	FIXED	4.500%	S/A	10/26/2016	10/26/2046	-	A1	A+	3	100.890	4.443%	200,000	Sr Unsecured	Sovereign
KFW	NZD	FIXED	3.375%	S/A	2/15/2016	2/15/2021	AAA	Aaa	-	1	104.158	0.976%	100,000	Sr Unsecured	Sovereign
INTL BK RECON & DEVELOP	NZD	FIXED	2.500%	S/A	1/24/2019	1/24/2024	AAA	Aaa	-	1	103.740	1.668%	100,000	Sr Unsecured	Sovereign
AUSTRALIAN GOVERNMENT	AUD	FIXED	2.750%	S/A	1/28/2016	11/21/2027	-	Aaa	AAA	1	110.651	1.418%	100,000	Sr Unsecured	Sovereign



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TENCENT HOLDINGS LTD	USD	FIXED	2.875%	S/A	2/11/2015	2/11/2020	A+	A1	A+	2	101.595	0.648%	200,000	Sr Unsecured	Others (Internet)	Professional Investors Only
CH OVS GRAND OCE FINANCE	USD	FIXED	4.875%	S/A	6/1/2018	6/1/2021	BBB-	Baa2	BBB	3	103.774	2.941%	200,000	Sr Unsecured	Real Estate	Professional Investors Only
SWIRE PROPERT MTN FIN	USD	FIXED	4.375%	S/A	6/18/2012	6/18/2022	-	A2	A	2	105.684	2.443%	200,000	Sr Unsecured	Real Estate	Professional Investors Only
CNOOC FINANCE 2014 ULC	USD	FIXED	4.250%	S/A	4/30/2014	4/30/2024	A+	A1	A+	2	106.216	2.890%	200,000	Sr Unsecured	Others (Oil&Gas)	Professional Investors Only
CLP POWER HK FINANCING	USD	FIXED	3.125%	S/A	5/6/2015	5/6/2025	A+	A1	-	2	101.222	2.900%	200,000	Sr Unsecured	Others (Electric)	Professional Investors Only
PETRONAS CAPITAL LTD	USD	FIXED	4.500%	S/A	3/18/2015	3/18/2045	A-	A1	-	3	112.631	3.733%	200,000	Sr Unsecured	Others (Oil&Gas)	Professional Investors Only

Bond List as of 5/22/2019

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Notes on Product Risk Ratings

The numeric product rating of a product is an indication of its risk on a scale of 1 to 5, where 1 is the lowest and 5 the highest risk category. This scale reflects the relative risk of products and is not intended to consider additional risk factors that are external to the product. Examples of such external factors include investments funded with loans and translation risk of products denominated in currencies other than the investor's home currency. Each client must make his or her own decision whether to invest in a particular product based on knowledge and experience, investment objectives, and risk tolerance. Higher product ratings will tend to primarily reflect greater volatility of the market factors affecting the product's value than for lower risk products. Higher product ratings also apply to products with restrictions on, or no early redemption provisions, or other factors affecting the determination of a realizable market price. The following descriptions provide general guidance on what product ratings are intended to indicate. In general, investors should understand that investment products with a product risk rating below his/her risk tolerance may not be appropriate according to his/her financial capability, investment objectives and risk appetite. An investor should not proceed with such an investment unless there are other supporting reasons to do so. Investors must understand that market conditions change and the product's risk may increase or decrease over its life. If you require further clarification on product ratings please ask your Relationship Manager.

Product risk rating

- 1: Low risk. Products with this risk level may be suitable for an investor who is very risk averse, and for whom preserving capital is the most important.
- 2: Low to Medium risk. Products with this risk level may be suitable for an investor who wants to gain the potential for some real capital growth while he/she understands that he/she needs to take a small amount of risk with the capital invested.
- 3: Medium risk. Products with this risk level may be suitable for an investor who is willing to tolerate a moderate amount of risk to see his/her money grow.
- 4: Medium to High risk. Products with this risk level may be suitable for an investor who wants to gain the potential of greater real capital growth. He/she understands that to have this potential he/she needs to take a high amount of risk with the capital invested.
- 5: High risk. Products with this risk level may be suitable for an investor who wants to have very significant real capital growth. He/she understands that to have this he/she needs to take a very high amount of risk with the capital invested.